On the Complexity of Sum-of-Products Problems over Semirings

Thomas Eiter, Rafael Kiesel

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General Completeness Result $SAT(\mathcal{R})$ and Classical Complexity Conclusion

Sum-of-Products Problem Motivation

Sum-of-Products [Bacchus et al., 2009]

- given a finite domain \mathcal{D} and
- functions $f_i : \mathcal{D}^{n_i} \to \mathbb{R} \ (i = 1, \dots, n)$

compute

$$\sum_{X_1,\ldots,X_m\in\mathcal{D}}\prod_{i=1}^n f_i(\vec{Y}_i),$$

• where \vec{Y}_i is a vector of variables from $\{X_1, \ldots, X_m\}$.

Sum-of-Products Problem Motivation

Sum-of-Products over Semirings [Bacchus et al., 2009]

- More generally, over some semiring $\mathcal{R} = (R, \oplus, \otimes, e_{\oplus}, e_{\otimes})$:
- \blacktriangleright given a finite domain \mathcal{D} and
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Sum-of-Products Problem Motivation

Semirings

A semiring is an algebraic structure $(R,\oplus,\otimes,e_\oplus,e_\otimes)$, s.t.

- ▶ (R, \oplus, e_{\oplus}) is a commutative monoid with neutral element e_{\oplus}
- ▶ $(R, \otimes, e_{\otimes})$ is a monoid with neutral element e_{\otimes}
- multiplication (\otimes) distributes over addition (\oplus)
- multiplication by e_{\oplus} annihilates R

 $\begin{array}{c} \mbox{Introduction}\\ \mbox{General Completeness Result}\\ \mbox{SAT}(\mathcal{R}) \mbox{ and Classical Complexity}\\ \mbox{Conclusion} \end{array}$

Sum-of-Products Problem Motivation

Semiring Examples

▶
$$\mathbb{B} = (\{\bot, \top\}, \lor, \land, \bot, \top)$$
 boolean

$$\blacktriangleright \qquad \mathbb{N} = \quad (\mathbb{N}, +, \cdot, 0, 1) \qquad \qquad \mathsf{natural numbers}$$

▶
$$\mathcal{R}_{max} = (\mathbb{R} \cup \{-\infty\}, \max, +, -\infty, 0)$$
 max-plus

Sum-of-Products Problem Motivation

Semiring Examples

$$\mathbb{B} = (\{\bot, \top\}, \lor, \land, \bot, \top) \qquad \text{boolean}$$
$$\bigvee_{a_1, \dots, a_n \in \{0, 1\}} \bigwedge_{j=1}^m C_j$$
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$$\max_{a_1, \dots, a_n \in \{0, 1\}} \sum_{j=1}^m w_j \mathbb{1}_{C_j}$$

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Sum-of-Products Problem Motivation

Semirings in Computer Science

Semirings were successfully used to parameterize "calculation" in

Semiring-based Constraint Satisfaction Problems

[Bistarelli et al., 1999]

Provenance

[Green et al., 2007]

Semiring-based Argumentation

[Bistarelli and Santini, 2010]

Algebraic Model Counting

[Kimmig et al., 2017]

 Algebraic Constraints in Answer Set Programming [Eiter and Kiesel, 2020]

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Sum-of-Products Problem Motivation

Complexity?

- Completeness results for some specific semirings
 - ▶ #P-complete over N
 - ► NP-complete over B
 - OptP-complete over \mathcal{R}_{max}

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Sum-of-Products Problem Motivation

Complexity?

Known results:

- Completeness results for some specific semirings
 - ▶ #P-complete over N
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▶ NP-hardness for idempotent semirings [Bistarelli et al., 1999]

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 - No results for semirings in general
 - \blacktriangleright #P already seems quite hard and there are semirings that are even harder than $\mathbb N$
- \hookrightarrow Need a more in-depth complexity analysis!

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SAT(\mathcal{R}) Semiring Turing Machines NP(\mathcal{R})

Weighted Propositional Formulas

▶ Let $\mathcal{R} = (R, \oplus, \otimes, e_{\oplus}, e_{\otimes})$ be a semiring

Syntax

$$\alpha ::= k \mid v \mid \neg v \mid \alpha + \alpha \mid \alpha * \alpha,$$

where $k \in R$ and v is a variable.

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where $k \in R$ and v is a variable.

• Semantics of α given interpretation $\mathcal I$

$$\llbracket k \rrbracket_{\mathcal{R}}(\mathcal{I}) = k \quad (k \in R)$$
$$\llbracket \ell \rrbracket_{\mathcal{R}}(\mathcal{I}) = \begin{cases} e_{\otimes} & \ell \in \mathcal{I} \\ e_{\oplus} & \text{otherwise.} \end{cases} \quad (\ell \in \{v, \neg v\})$$
$$\llbracket \alpha_1 + \alpha_2 \rrbracket_{\mathcal{R}}(\mathcal{I}) = \llbracket \alpha_1 \rrbracket_{\mathcal{R}}(\mathcal{I}) \oplus \llbracket \alpha_2 \rrbracket_{\mathcal{R}}(\mathcal{I})$$
$$\llbracket \alpha_1 * \alpha_2 \rrbracket_{\mathcal{R}}(\mathcal{I}) = \llbracket \alpha_1 \rrbracket_{\mathcal{R}}(\mathcal{I}) \otimes \llbracket \alpha_2 \rrbracket_{\mathcal{R}}(\mathcal{I})$$

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 $SAT(\mathcal{R})$

• Define SAT(\mathcal{R}) as a generalization of SAT over semirings

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$\mathsf{SAT}(\mathcal{R})$

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 → SAT should be equivalent to SAT(B)

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 $SAT(\mathcal{R})$:

Given a weighted formula α over variables in ${\mathcal V}$ compute

 $\bigoplus_{\mathcal{I}\in\mathsf{Int}(\mathcal{V})}\llbracket\alpha\rrbracket_{\mathcal{R}}(\mathcal{I})$

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 $SAT(\mathcal{R})$ Semiring Turing Machines $NP(\mathcal{R})$

Semiring Turing Machines (SRTM)

• Aim: Capture SAT(\mathcal{R}) but not more

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- ▶ Aim: Capture SAT(*R*) but not more
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Semiring Turing Machines (SRTM)

- Aim: Capture SAT(R) but not more
- Allow semiring values $r \in R$ on the tape
- ► Use a weighted transition relation $\delta \subseteq (Q \times (\Sigma \cup R)) \times (Q \times (\Sigma \cup R)) \times \{-1, 1\} \times \mathbb{R}^1$

 ${}^{\mathbf{1}}\delta$ may be infinite but is always finitely representable

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- ► For each $((q_1, \sigma_1), (q_2, \sigma_2), e, r) \in \delta$:
 - 1. cannot write or overwrite semiring values $(\sigma_1 \in R \text{ or } \sigma_2 \in R \text{ implies } \sigma_1 = \sigma_2)$

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 - 3. cannot differentiate semiring values $(\sigma_1 \in R \text{ implies that for all } \sigma'_1 \in R \text{ we have } ((q_1, \sigma'_1), (q_2, \sigma'_1), e, r') \in \delta$, where $r' = \sigma'_1$ if $r = \sigma_1$ and else r' = r)

 $^{^{1}\}delta$ may be infinite but is always finitely representable

 $SAT(\mathcal{R})$ Semiring Turing Machines $NP(\mathcal{R})$

SRTM Output

Let M be an SRTM and c = (q, w, n) a configuration, where q is a state, w is the string on the tape and n is the head position



 $SAT(\mathcal{R})$ Semiring Turing Machines $NP(\mathcal{R})$

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- Let M be an SRTM and c = (q, w, n) a configuration, where q is a state, w is the string on the tape and n is the head position
- The value v(c) of c w.r.t. M is
 - e_⊗, if there are no possible transitions from c to another configuration



 $\begin{array}{l} \mathsf{SAT}(\mathcal{R}) \\ \textbf{Semiring Turing Machines} \\ \mathsf{NP}(\mathcal{R}) \end{array}$

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- $\bigoplus_{c \xrightarrow{r} c'} r \otimes v(c')$, otherwise, where $c \xrightarrow{r} c'$ denotes that M can transit from c to c' with weight r



 $\begin{array}{l} \mathsf{SAT}(\mathcal{R}) \\ \mathsf{Semiring} \ \mathsf{Turing} \ \mathsf{Machines} \\ \mathsf{NP}(\mathcal{R}) \end{array}$

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 - $\bigoplus_{\substack{c \\ \to c'}} r \otimes v(c')$, otherwise, where $c \xrightarrow{+} c'$ denotes that M can transit from c to c' with weight r
- The output is v(c₀), the value of the initial configuration c₀.



 $\begin{array}{c} \text{Introduction} \\ \textbf{General Completeness Result} \\ \text{SAT}(\mathcal{R}) \text{ and Classical Complexity} \\ \text{Conclusion} \end{array} \begin{array}{c} \text{SAT}(\mathcal{R}) \\ \textbf{Semiring Turing Machines} \\ \textbf{NP}(\mathcal{R}) \end{array}$

 $\mathsf{NP}(\mathcal{R})$

 NP(R) is the class of all functions computable in polynomial time by an SRTM over R.

 $SAT(\mathcal{R})$ Semiring Turing Machines $NP(\mathcal{R})$

 $\mathsf{NP}(\mathcal{R})$

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Theorem

SAT(\mathcal{R}) is NP(\mathcal{R})-complete with respect to polynomial transformations², for every semiring \mathcal{R} .

 $^{^{2}}$ i.e. the same kind we use for NP-completeness

 $SAT(\mathcal{R})$ Semiring Turing Machines NP(\mathcal{R})

$NP(\mathcal{R})$ -complete Problems

The following problems are NP(\mathcal{R})-complete by reduction from SAT(\mathcal{R}):

Sum-of-Products

[Bacchus et al., 2009]

- Semiring-based Constraint Satisfaction Problems
 - [Bistarelli et al., 1999]
- Algebraic Model Counting

[Kimmig et al., 2017]

Algebraic Constraint Evaluation

[Eiter and Kiesel, 2020]

Encodings Epimorphisms

Encodings

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- Let $\mathcal{R} = (R, \oplus, \otimes, e_\oplus, e_\otimes)$ be a semiring
- ▶ An injective function $e: R \rightarrow \{0,1\}^*$ is an *encoding function*

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Example

The binary representation $bin(n) = b_0 \dots b_m$ such that $n = \sum_{i=1}^m b_i 2^i$ is an encoding function for the semiring \mathbb{N} of the natural numbers

 $\begin{array}{c} \mbox{Introduction}\\ \mbox{General Completeness Result}\\ \mbox{SAT}(\mathcal{R}) \mbox{ and Classical Complexity}\\ \mbox{Conclusion} \end{array}$

Encodings Epimorphisms

The Encoding Matters!

- Binary encoding: Knapsack is NP-hard
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Encodings Epimorphisms

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- Binary encoding: Knapsack is NP-hard
- Unary encoding: Knapsack is in P
- There is a semiring whose multiplication is undecidable or linear time depending on the encoding

Encodings Epimorphisms



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Encodings Epimorphisms

Sources of Complexity



1. Encoding of the input

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Encodings Epimorphisms

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Our intuition:

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 - $c_1x_1 + c_2x_2$ over $\mathbb{N}[x_1, x_2]$ retains the values c_1, c_2
- ▶ 1. and 2. are orthogonal → we consider 2.

Epimorphisms

▶ Let $\mathcal{R}_i = (R_i, \oplus_i, \otimes_i, e_{\oplus_i}, e_{\otimes_i}), i = 1, 2$ be semirings

Epimorphisms

Encodings Epimorphisms

Epimorphisms

▶ Let $\mathcal{R}_i = (R_i, \oplus_i, \otimes_i, e_{\oplus_i}, e_{\otimes_i}), i = 1, 2$ be semirings

▶ An epimorphism is a surjective function $f : R_1 \rightarrow R_2$ such that for $\odot = \oplus, \otimes$

$$f(r \odot_1 r') = f(r) \odot_2 f(r')$$
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Encodings Epimorphisms

Epimorphism Theorem

Epimorphisms can be employed similarly to reductions

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Theorem

Let $e_i(\mathcal{R}_i), i = 1, 2$ be two encoded semirings, such that

- 1. $SAT(e_1(\mathcal{R}_1))$ is in FPSpace(poly),
- 2. there exists a polynomial time computable epimorphism $f: e_1(R_1) \rightarrow e_2(R_2)$, and
- 3. for each $e_2(r_2) \in e(R_2)$ one can compute in polynomial time $e_1(r_1)$ s.t. $f(e_1(r_1)) = e_2(r_2)$ from $e_2(r_2)$.

Then SAT($e_2(\mathcal{R}_2)$) is counting-reducible to SAT($e_1(\mathcal{R}_1)$).

Encodings Epimorphisms

Epimorphism map

Find membership results for high information retainers

Encodings Epimorphisms

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Find membership results for high information retainers



Epimorphisms

Epimorphism map

Find membership results for high information retainers



Note: $\mathbb{N}[(x_i)_{\infty}], \mathbb{B}[(x_i)_{\infty}]$ have epimorphisms to every commutative countable (resp. and idempotent) semiring

Encodings Epimorphisms

Negative Results

Theorem Let $\mathcal{R} = \mathbb{N}[(x_i)_{\infty}]$ (resp. $\mathcal{R} = \mathbb{B}[(x_i)_{\infty}]$). The following are equivalent:

- 1. There is an encoding function e for \mathcal{R} s.t.
 - 1) $\| [\![\alpha]\!]_{\mathcal{R}}(\mathcal{I}) \|_{e}$ is polynomial in the size of α , \mathcal{I} ,
 - we can extract the coefficient of x^{j₁}_{i₁}...x^{j_n}_{i_n} from e(r) in polynomial time in ||r||_e, and

3) $||x_i||_e$ is polynomial in *i*,

2. $\#P \subseteq FP/poly$ (resp. NP $\subseteq P/poly$).

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link to open complexity theoretic questions!

Encodings Epimorphisms

Positive Results

Theorem

Let e be the encoding function that represents exponents in unary and coefficients in binary. Then

- SAT(e(Q[(x_i)_k])) is counting-reducible to #SAT and #P-hard for counting reductions.
- ► SAT($e(\mathbb{B}[(x_i)_k])$) is $\mathsf{FP}_{\parallel}^{\mathsf{NP}}$ -complete for metric reductions.

Encodings Epimorphisms

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Finitely Generated Semirings

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- The semiring generated by $S \subseteq R$ is

$$\langle S\rangle_{\mathcal{R}} := \bigcap \{ R' \subseteq R \mid S \subseteq R', (R', \oplus, \otimes, e_{\oplus}, e_{\otimes}) \text{ is a semiring} \}.$$

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$$\mathcal{R}$$
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Proposition

If \mathcal{R} is finitely generated and commutative, then there is an epimorphism from $\mathbb{N}[(x_i)_n]$ to \mathcal{R} .

Finitely Generated Semirings

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Solve SAT(\mathcal{R}) via SAT($\mathbb{N}[(x_i)_n]$) (resp. SAT($\mathbb{B}[(x_i)_n]$))

 $\begin{array}{c} & \text{Introduction} \\ & \text{General Completeness Result} \\ & \text{SAT}(\mathcal{R}) \text{ and Classical Complexity} \\ & \text{Conclusion} \end{array} \begin{array}{c} \text{Conclusion} \end{array}$

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- Results suggest that the complexity strongly depends on the amount of information retained
- ▶ In general SAT(\mathcal{R}) harder than #SAT (unless #P \subseteq FP/poly)
- ► For a broad class of commutative, finitely generated semirings SAT(*R*) can be reduced to #SAT (and is in FP_{||}^{NP} if *R* is also idempotent)

Conclusion

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